



2161  
JKW

PATENT  
Attorney Docket No.: ITLV-00104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2161  
Robert Olan Keith Jr. ) Examiner: Nguyen, Cam Linh T.  
Serial No.: 09/800,607 )  
Filed: March 06, 2001 )  
For: **METHOD AND APPARATUS FOR** ) 162 N. Wolfe Road  
**APPLYING A PARAMETRIC** ) Sunnyvale, CA 94086  
**SEARCH METHODOLOGY TO A** ) (408) 530-9700  
**DIRECTORY TREE DATABASE** ) Customer No. 28960  
**FORMAT** )  
}

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find an Supplemental Information Disclosure Statement, Form PTO-1449, including copies of the references contained thereon for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 10, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

- 1 -

Date: 2-10-05 By: Jon O. Owens



**PATENT**  
Attorney Docket No.: ITLV-00104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2171  
Robert Olan Keith, Jr. ) Examiner: Nguyen, Cam Linh T.  
Serial No.: 09/800,607 )  
Filed: March 06, 2001 )  
For: **METHOD AND APPARATUS FOR**  
**APPLYING A PARAMETRIC**  
**SEARCH METHODOLOGY TO A**  
**DIRECTORY TREE DATABASE**  
**FORMAT** )  
162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700  
Customer No.: 28960

---

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on January 10, 2005. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)



PATENT  
Attorney Docket No.: ITLV-00104

### Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 10, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

### CERTIFICATE OF MAILING (37 CFR § 1.2(3))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 2-10-05 By: Tuan D. Roman



PATENT

Attorney Docket No.: ITLV-00104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2161  
Robert Olan Keith Jr. )  
Serial No.: 09/800,607 ) Examiner: Nguyen, Cam Linh T.  
Filed: March 06, 2001 )  
For: **METHOD AND APPARATUS FOR** ) 162 N. Wolfe Road  
**FORMATTING INFORMATION** ) Sunnyvale, CA 94086  
**WITHIN A DIRECTORY TREE** ) (408) 530-9700  
**STRUCTURE INTO AN** )  
**ENCYCLOPEDIA-LIKE ENTRY** ) Customer No. 28960  
)

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,604,772; and
- U.S. Patent No. 6,421,661 B1.

**PATENT**  
Attorney Docket No.: ITLV-00104

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 10, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902  
Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.2(c))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 2-10-05 By: Jonathan O. Owens

FEB 14 2005

Sheet 1 of 1

FORM PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: ITLV-00104	Serial No.: 09/800,607
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary) (37 CFR § 1.98(b))		Applicant: Robert Olan Keith Jr.	
		Filing Date: March 06, 2001	Group Art Unit: 2171

**U.S. PATENT DOCUMENTS**

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date
	AA	5,604,772	02/18/97	Botto et al.	375	341	03/12/96
	AB	6,421,661 B1	07/16/02	Doan et al.	707	3	04/26/99
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

**FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS**

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

AP	
AQ	
AR	
AS	
AT	
AU	
AV	
AW	
AX	
AY	
AZ	

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.